



THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT TRANSMITTAL FORM

1654/9

Applicant(s): Mahalingam, et al.

Serial No.: 10/034,186

Filed: December 28, 2001

For: TOPICAL LIGHTENING COMPOSITIONS AND METHODS OF USE

Art Unit: 1654

Examiner: P.A. Patten

Confirmation No.: 7732

Customer No.: 27623

Attorney Docket No.: 680.0054USU

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

We are enclosing:

1. Supplemental Information Disclosure Statement with copies of references;
2. PTO Form 1449;
3. Transmittal letter in duplicate;
4. Check in the amount of \$180.00; and
5. Postcard.

Please charge any additional fees or credit any such fees, if necessary to Deposit Account No. 01-0467 in the name of Ohlandt, Greeley, Ruggiero & Perle. A duplicate copy of this sheet is attached.

Respectfully submitted,

Charles N.J. Ruggiero  
Reg. No. 28,468  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
One Landmark Square, 10<sup>th</sup> Floor  
Stamford, Connecticut 06901-2682  
(203) 327-4500

Date: April 1, 2004

**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON April 1, 2004.

Leslie Moscatello  
NAME

Leslie Moscatello  
SIGNATURE

4/01/04  
DATE



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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**SUPPLEMENTARY INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with applicants' duty of disclosure under 37 C.F.R. §1.56, we are enclosing a Supplementary form PTO-1449 listing information that may be material to the patentability of this application.

We are enclosing copies of U.S. Patent No. 5,993,835 as well as copies of Foreign Patent Nos. FR 2041400, CN 1323577, JP 06072838 and PCT Publication Nos.: WO 97/00892, WO 99/49878, and WO 00/76458.

It is applicants' belief that none of the above citations describe that which is claimed in the present invention.

It should be understood that attention has been called to the citations that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed citations and to make the usual careful independent search for other prior art that may be pertinent.

This Information Disclosure Statement is being filed after the date of the first Office Action, but before the mailing date of a final action and this I.D.S. is accompanied by the fee set forth in 37 C.F.R. §1.17(p).

Applicants respectfully request favorable consideration and that this application be passed to allowance.

Respectfully submitted,



Charles N.J. Ruggiero

Reg. No. 28,468

Attorney for Applicant(s)

Ohlandt, Greeley, Ruggiero & Perle, L.L.P.

One Landmark Square, 10th Floor

Stamford, CT 06901-2682

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Sheet 1 of 1

FORM PTO-1449

Docket Number (Optional)

680.0054USU

Application Number

10/034,186

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

Applicant

Mahalingam et al.

Filing Date

December 28, 2001

Group Art Unit

1654

(Use several sheets if necessary)

## U. S. PATENT DOCUMENTS

INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,993,835	11.30.1999	Mishima			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	FR 2041400	29.01.1971	France				X
	CN 1323577	28.11.2001	China (abstract only)				
	JP 06072838	15.03.1994	Japan (abstract only)				
	WO 97/00892	09.01.1997	PCT				
	WO 99/49878	07.10.1999	PCT				
	WO 00/76458	21.12.2000	PCT				

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through  
citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.